The



Docket No.: 070456-0085

<u>PATENT</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Takashi HARADA, et al. : Confirmation Number: 8323

Application No.: 10/536,879 : Group Art Unit: 1742

Filed: May 27, 2005 : Examiner: KASTLER, Scott R.

For: THERMOELECTRIC MATERIAL AND METHOD OF MANUFACTURING THEREOF

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

10/536,879

CERTIFICATION PARAGRAPH

The undersigned certifies that each item of information contained in this Information

Disclosure Statement was first cited in a communication from a foreign patent office in a

counterpart foreign application not more than three months prior to the filing of this Information

Disclosure Statement as described in 37 CFR 1.97(e)(1).

The references were cited in a corresponding Chinese Office Action and their relevance

is discussed therein. A copy of the Chinese Office Action, together with an English language

version thereof, is attached for the Examiner's information. Please note that the reference JP

2002-076452, which corresponds to US 2002/0026856 A1, was previously submitted in an

Information Disclosure Statement on May 27, 2005.

Please charge any shortage in fees due in connection with the filing of this paper,

including extension of time fees, to Deposit Account 500417 and please credit any excess fees to

such deposit account.

Respectfully submitted,

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Date: September 11, 2007

Please recognize our Customer No. 20277 as our correspondence address.

En K. Jinh Ras. No. 51,321

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION					ATTY. DOCKET NO. 070456-0085		SERIAL NO. 10/536,879	
					APPLICANT Takashi HARADA, et al.			
(PTO-1449)					FILING DATE May 27, 2005		GROUP 1742	
			U	.S. PATENT	DOCUMENTS			
EXAMINER'S INITIALS	CITE NO.	Nur	Document Number mber-Kind Code2 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US	2002/0026856 A1	03-07-2002	SUZUKI et al.		Corresponds to JP 2002- 076452	
		US	6,313,392	11-06-2001	SATO et al.		Corresponds to CN 1252625 A	
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	<u> </u>			FOREIGN PATE	NT DOCUMENTS			

Name of Patentee or

Applicant of Cited Document

KOMATSU MFG CO

Translation

No

Yes

CHINA (w/

Pages, Columns, Lines

Where Relevant

Figures Appear

CN 1252625 A LTD Correspondin g ÜS 6,313,392)

Publication Date

MM-DD-YYYY

05-10-2000

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Foreign Patent Document

Country Codes -Number 4-Kind Codes (if known)

EXAMINER'S

INITIALS

CITE

NO.

EXAMINER'S Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, INITIALS journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where CITE published. Chinese Office Action, issued in corresponding Chinese Patent Application No. 200380104378.6, dated on July 13, 2007

EXAMINER DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached